



Hobson Wealth Partners Limited Contract Note Terms and Conditions

1. These terms and conditions govern any dealing executed through Hobson Wealth Partners Limited (“Hobson Wealth”) on behalf of the Account in respect of transactions in equity and debt securities on the markets provided by New Zealand Exchange Ltd (“NZX”).
2. This contract note is subject to all applicable Rules of NZX, as amended from time to time. Hobson Wealth has acted in this transaction as an NZX Trading Participant and a Clearing Participant.
3. Hobson Wealth may be dealing in this transaction as principal or on its own account, or may be matching your transaction with that of another Hobson Wealth client by acting on their behalf as well as yours.
4. Hobson Wealth may earn commission or fees from both sides of the transaction. For trades conducted in an overseas currency, the exchange rate may include a currency conversion margin in accordance with your Adviser’s disclosure statement.
5. You agree to pay for purchases including all brokerage, taxes, fees, costs, duties and similar charges or expenses in respect thereof, and make good delivery in respect of sales, to enable Hobson Wealth to settle the transaction by the due settlement date specified on this contract note.
6. Where you fail to make good delivery in respect of sales, or pay for purchases, by the due settlement date specified on this contract note, Hobson Wealth is entitled to pass on to you all costs or liabilities incurred by Hobson Wealth as a result of such failure.
7. Hobson Wealth may appropriate credits and all payments received from or on behalf of you in reduction of any monies owing by you to Hobson Wealth, whether on the Account or on any other account.
8. If you fail to make any payment or to deliver any securities due to Hobson Wealth, Hobson Wealth may retain any money payable to you or sell any securities or other assets held on your behalf to meet any obligations owed by you to Hobson Wealth.
9. You must examine this contract note immediately. Unless you contact Hobson Wealth to dispute the accuracy of the transaction recorded on this contract note within two business days of receipt (deemed receipt being the business day following the electronic transmission of, or three business days following the posting of, this contract note) you agree that the details of the transaction recorded on this contract note, in the absence of manifest error, will be conclusive evidence of a concluded transaction. Hobson Wealth may, at any time, reissue a contract note in order to correct any errors or omissions.
10. You indemnify Hobson Wealth against any actions, claims, demands, judgment, damages, losses or other liabilities and any costs, charges and expenses (including Solicitor/Client costs) in connection therewith, incurred by, or awarded against Hobson Wealth, arising out of or in connection therewith
 - i. Hobson Wealth or any of its officers or employees in relation to or with your securities,
 - ii. any transactions effected on your behalf,

- iii. any securities held for you, or
- iv. any action permitted by these terms and conditions.

This indemnity continues after completion of all transactions on your Account, and survives termination of your relationship with Hobson Wealth.

- 11. Hobson Wealth may amend these terms and conditions from time to time.
- 12. Trades dealt with on a Recognised Stock Exchange outside of New Zealand may be subject to further rules and/or Regulation.

For Trading Undertaken on Recognised Stock Exchanges in Australia

This confirmation has been issued by Hobson Wealth Partners Limited (Company number 1007806) ("Hobson Wealth"). Hobson Wealth has entered into an Intermediary Services Agreement with FinClear Execution Limited ("FinEx"). Hobson Wealth arranged for FinEx (ABN 56 061 751 102 AFSL 246842) to execute the transaction, the subject of this confirmation, on behalf of Hobson Wealth acting as your agent. FinEx has third party clearing arrangements with FinClear Pty Limited ("FinClear"), an ASX General Clearing and Settlement Participant, for the purposes of the transaction. FinEx is a wholly owned subsidiary of FinClear. This confirmation is subject to the operating rules, directions, decisions and requirements of ASX Limited (ASX) and Cboe Australia Pty Ltd (Cboe), the ASIC Market Integrity Rules, and the customs and usages of the markets operated by ASX and Cboe (in each case as applicable), the operating rules of ASX Clear Pty Limited (ASX Clear) and, where relevant, the operating rules of ASX Settlement Pty Limited (ASX Settlement) and the correction of errors and omissions.

FinEx is a Market and Account Participant of the ASX, and a Participant of Cboe. FinEx is not an Authorised Deposit-Taking Institution under the Banking Act 1959 (Cth of Australia). The obligations of FinEx do not represent deposits or other liabilities of FinClear, and FinClear does not stand behind, support or guarantee FinEx in any way. Your order may have been executed on either or both Markets of which FinEx is a Participant.

For Trading Undertaken on other Recognised Stock Exchanges

If this transaction has been carried out on a recognised Securities Exchange (as that term is defined in the NZX participant rules) for which neither Hobson Wealth nor FinEx is a participant, Hobson Wealth will have engaged a third party provider to carry out the trade. If this is the case, this confirmation will be subject to the operating rules, directions decisions and requirements of the Recognised Securities Exchange applicable to the transaction.